

SCHEDULE 1
GROUP MEMBER REGISTRATION FORM
***Information provided in this form will be treated as confidential#**

There is a court-ordered confidentiality regime in place in respect of any information provided in this form. Those orders detail who can access the information and what they can do with it. General legal principles also dictate that this information can only be used for the purposes of this litigation i.e. it cannot be used for any other purpose.

If you have any questions in relation to the disclosure of your information, you may contact the Applicant's solicitors, Maurice Blackburn, by email at ForexClassAction@mauriceblackburn.com.au.

Part A: Name and contact details		
1. Full name of person or company (Please supply the name in which the trades were conducted)		
2. Contact details (This information will not be provided to the Respondent Banks or their representatives)	Contact name (if trading by a company): _____ Email: _____ Telephone: _____ Postal address: _____	
Part B: Questions to help determine if you are a group member		
References to 'you' below are to the person or company named in response to question 1		
3. In the period from 1 January 2008 to 15 October 2013 did you (or your employee or agent): (a) trade in FX Spots or FX Outright Forwards; <i>and</i> (b) in one or more of the Currency Pairs* set out below?	<input type="checkbox"/> Yes, my trading meets each of the requirements in both (a) and (b)	<input type="checkbox"/> No
4. If you answered yes to question 3, was the combined value of those trades made: (a) while you (or your employee or agent) were in Australia; and/or (b) through a Dealer** located in Australia, at least AUD 500,000 (or equivalent)?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Part C: Additional information about your trading		
If you answered 'yes' to each of questions 3 and 4, please answer the following questions to the best of your ability.		
Each question applies to the trades that meet each of the criteria in questions 3 and 4. These are referred to in this section as Qualifying Trades .		
References to 'you' below are to the person or company named in response to question 1		
5. What was the total value your Qualifying Trades in the period from 1 January 2008 to 15 October 2013? * If you do not know, please provide your best reasonable estimate. You may provide an estimated range in responding to this question.	AUD \$ _____ or AUD \$ _____ to AUD \$ _____	
6. In which Currency Pairs* did you predominantly trade?		

<p>7. Did you place your Qualifying Trades directly with any of UBS AG, Barclays Bank Plc, Citibank N.A., JPMorgan Chase Bank N.A. and/or NatWest Markets Plc (formerly known as The Royal Bank of Scotland plc)?</p> <p>If you do not know write “Unknown”.</p>	<p>If yes please select all that apply:</p> <p><input type="checkbox"/> UBS AG</p> <p><input type="checkbox"/> Barclays Bank Plc</p> <p><input type="checkbox"/> Citibank N.A.</p> <p><input type="checkbox"/> JPMorgan Chase Bank N.A.</p> <p><input type="checkbox"/> NatWest Markets Plc (formerly known as The Royal Bank of Scotland plc)</p> <p>If you do not know, write “Unknown” below:</p> <p>_____</p>	
<p>8. Which (if any) other Dealers** located in Australia did you place your Qualifying Trades with (please include all known)?</p>		
<p>9. Will you be able to provide, if requested and given reasonable time, records of your Qualifying Trades?</p>	<p><input type="checkbox"/> Yes</p>	<p><input type="checkbox"/> No</p>
<p>Part D: Additional questions about you / your business If you answered ‘yes’ to each of questions 3 and 4, please answer the following questions to the best of your ability. References to ‘you’ below are to the person or company named in response to question 1</p>		
<p>10. Please provide a general description of the nature of the business (or other context) in which your Qualifying Trades were conducted, including whether you were in the business of trading FX as distinct from another business (eg goods importer)?</p>		
<p>11. Have you already received any money or submitted a claim to receive a payment in respect of your Qualifying Trades (anywhere in the world, including from other litigation and/or settlement) or otherwise agreed to release your claim?</p>	<p><input type="checkbox"/> Yes</p> <p>(If you answer “Yes” to this question, please provide further information in the space below about the value of your Qualifying Trades that were covered by the claim, and the amount of compensation you received. Please note, if you do not specify the currency in your answer, we will assume your answer to be in \$AUD.)</p>	<p><input type="checkbox"/> No</p>
<p><i>You can complete this form online at: https://www.mauriceblackburn.com.au/FX or you can return it via email at ForexClassAction@mauriceblackburn.com.au, or via post to the following address: Maurice Blackburn FX Class Action Team, Level 19, 380 La Trobe Street, Melbourne VIC 3000. The preferred method for responding is by completing the form online if you can do so.</i></p>		

*Currency Pairs means:

the Australian dollar (AUD), British pound (GBP), Euro (EUR), Japanese yen (JPY), New Zealand dollar (NZD) or the US dollar (USD) **paired with another currency from any of the following:** AUD, Brazilian real (BRL), GBP, Canadian dollar (CAD), Chinese yuan (CNY), Czech koruna (CZK), EUR, Hong Kong dollar (HKD), Hungarian forint (HUF), Indian rupee (INR), Indonesian rupiah (IDR), Israeli shekel (ILS), JPY, Malaysian ringgit (MYR), Mexican peso (MXN), NZD, Norwegian krone (NOK), Polish zloty (PLN), Romanian leu (RON), Russian ruble (RUB), Singapore dollar (SGD), South African rand (ZAR), South Korean won (KRW), Swedish krona (SEK), Swiss franc (CHF), Taiwan dollar (TWD), Thai baht (THB), Turkish lira (TRY).

** Dealer means: an individual or firm acting as a principal, rather than as an agent, in the purchase and sale of currencies, and the quoting of prices for the purchase and sale of currencies, to customers in the Dealer's capacity as an FX market maker.

Important: If you choose to complete and submit this registration form, you consent to the disclosure of your information and transaction data relating to your trades in FX Instruments, and to the extent permitted by law waive any protections provided by any applicable foreign or domestic bank secrecy, client confidentiality or data privacy law protections in relation to your information and transaction data relating to your trades in FX Instruments, for the purpose of the Australian FX Class Action and any appeal.

ACKNOWLEDGEMENT

By signing this form, I acknowledge that I have reviewed this form and agree to its terms, including the preceding notice marked "Important".

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Name: _____

Position: _____

Date: _____